

Compliance Assurances – INSTRUCTIONS

Project Title:

PI:

A. Data

A.1 Does your project require access to third party data, including data provided by DHS Component agencies?

- ☐ Yes
- ☐ No

If the answer to A.1 is no, skip to Part B. Human Subjects Research Assurances and ITAR/Export Controls. If the answer to A.1 is yes, please fill out the sections in part A.2. Third Party Data.

A.2 Third Party Data

Third party Data to be used in the project:

Purpose and characteristics of the data:

Uses of the data:

Data source and Acquisition method: <publicly available, available for purchase, federal data, etc.>

Data safety plan:

Data disposal/retention plan:

Data owner's commitment letter: <Written agreement to provide the PI with the required data and the conditions under which the data will be provided.>

B. Human Subjects Research Assurances and ITAR/Export Controls

B.1 Is research involving human subjects applicable to this project? Select one of the following:

- ☐ Not applicable
- ☐ Yes, and I will apply for IRB approval
- ☐ Yes, and I applied for IRB approval on: <MM/D/YYYY>
- ☐ Yes, and it was approved by my organization's IRB on: <MM/DD/YYYY>

B.2 Does your project involve export-controlled items (EAR/ITAR)? "Export-controlled items" means items subject to the Export Administration Regulations (EAR) (15 CFR Parts 730-774) or the International Traffic in Arms Regulations (ITAR) (22 CFR Parts 120-130).

- ☐ Yes
- ☐ No